

2874

Attorney's Docket No. 42390.P10601

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Dmitri E. Nikonov et al.

Examiner: Wong, Eric K.

Application No.: 09/982,227

Art Unit: 2874

Filed: October 17, 2001

For: AN INTEGRATED OPTICAL CIRCUIT
HAVING AN INTEGRATED ARRAYED
WAVEGUIDE GRATING (AWG) AND
OPTICAL AMPLIFIER(S)

~~580~~
#9
11-10-03

RECEIVED
JUL 30 2003
TECHNOLOGY CENTER 2800

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

STATEMENT UNDER 37 C.F.R. §1.97(e)

Sir:

I hereby certify (as indicated by an "X" to the left of the appropriate paragraph):

 X That each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on July 23, 2003

(Date of Deposit)

Luci M. Arevalo

(Typed or printed name of person mailing correspondence)


(Signature of person mailing correspondence)

That no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned attorney after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing the Information Disclosure Statement.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 7/23/03



Jan Carol Little
Reg. No. 41,181

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(206) 292-8600